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| **Breach Register** |  |
|  |  |  |  |  |  |  |  |  |
| **Key to breach types**SRA Principle (SP) SRA Code of Conduct (CO) SRA Accounts Rules (AR) Internal policy (IP)Money Laundering Regulations (MR) Data protection regulations (GDPR) Financial Services Act (FS) Equality Act (EQ)Health & Safety regulations (HS) Undertakings (UT) Other/unknown (OU) |
|   |
| **Date of breach** | **Type of breach**(see codes above) | **Personnel/Department** | **Nature of breach** | **Reported to SRA?** | **Date reported** | **Notes** | **Closed****out** |
|  |  |  | **Minor** | **Serious** |  |  |  |  |
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